

DBE 101

Monitoring & Oversight, Best Practices, Tribal Employment Rights Ordinance (TERO), and Resources

April 15, 2025

Monitoring & Oversight and Best Practices





Monitoring & Oversight Contract Oversight

1. Community Engagement & Outreach

- Monthly Association meetings
- 1:1 with community members

2. Routine Contractor Meetings

- 1:1 with Contractor's Community Manager
- Subcontracting Progress
 - Who is getting started, who is wrapping up
 - Who needs additional assistance, who is performing well



Monitoring & Oversight Monitoring Performance

- 1. 1:1 Meetings with project engineer offices and regional compliance staff
- 2. Monitoring DMCS for prompt pay issues
- 3. Review and validation of contractor progress reports
- 4. Monitoring trucking
- 5. Performing CUF reviews and on-site reviews on schedule



The **Regional Compliance Staff** are your **Best Resource** for Participation on Contracts.



Best Practices

- 1. Be proactive in thinking of DBEs during development of RFQ and RFP. **Host** a strategy meeting.
- 2. Work with proposers prior to proposal phase to ensure they understand the importance of DBEs to WSDOT as well as to the project
- 3. Perform on-site reviews on schedule **No CUF No CREDIT!**
- 4. Monitor trucking activities



Best Practices

- 1. Know when to have a meeting
- 2. Work with prime contractor after award to ensure commitment to DBEs remains on their radar
- 3. Be responsive when lower tier disputes arise
- 4. Review DBE committed work prior to award





Tribal Employment Rights Ordinance (TERO)



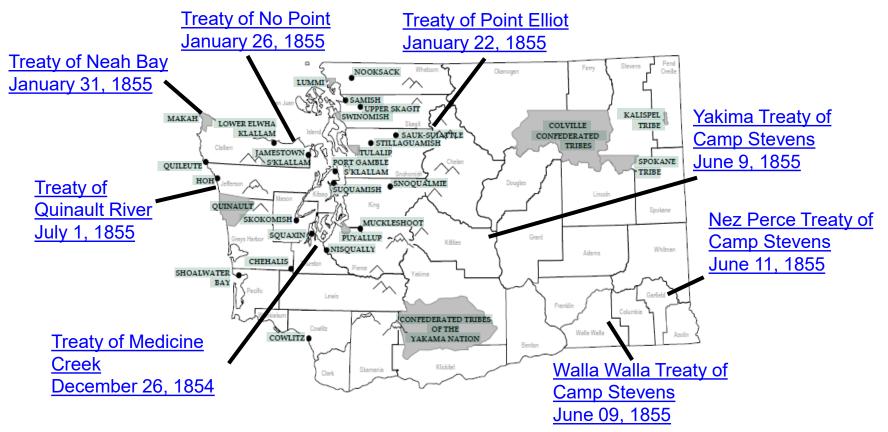


Each federally recognized Tribal Nation is a unique **Sovereign Government**, and each Tribal Nation operates its own *TERO office with varying strategies and policies to protect the employment rights of their tribal workforce.



^{*}Not all Tribes have TERO programs

Federally Recognized Tribes of WA (2023)





There are **29** federally recognized Tribes throughout Washington State

- Chehalis
- Colville
- Cowlitz
- Hoh
- Jamestown S'Klallam
- Kalispel
- Lower Elwha Klallam
- Lummi
- Makah
- Muckleshoot
- Nisqually
- Nooksack
- Port Gamble S'Klallam
- Puyallup
- Quileute

- Quinault
- Samish
- Sauk-Suiattle
- Shoalwater Bay
- Skokomish
- Snoqualmie
- Spokane
- Squaxin Island
- Stillaguamish
- Suquamish
- Swinomish
- Tulalip
- Upper Skagit
- Yakama



































There are **574** federally recognized Tribes throughout the U.S.



What is TERO?

TERO stands for Tribal Employment Rights Ordinance or Office. TERO Ordinances require that all employers who are engaged in operating a business on reservations give preference to qualified Indians in all aspects of employment, contracting, and other business activities. TERO Offices were established and empowered to monitor and enforce the requirements of the tribal employment rights ordinance.



What is Indian Preference?

Indian Preference is a unique legal right that tribal members have that entitles them to first consideration to all employment, training, contracting and subcontracting, and business opportunities that exist on and in some cases near reservations.



Is Indian Preference a violation of federal law?

No. There are no federal laws which prohibit Indian Preference. Tribes are exempt from Title VII of the Civil Rights Act and several other employment laws.



What is the purpose of the TERO Program?

The primary purpose of the TERO program is to enforce tribally enacted Indian Preference law to ensure that Indian/Alaska Native people gain their rightful share to employment, training, contracting, subcontracting, and business opportunities on and near reservations and native villages.



Why was the TERO Ordinance enacted?

- To address the deplorable rate of poverty, unemployment and underemployment that exists among native people living on reservations.
- To eliminate discriminatory and other historical barriers tribal members face while seeking employment and business opportunities on or near reservations.
- To ensure that tribal members receive their rightful entitlements as intended and required under the Tribal and federal Indian preference employment law.



Are Indian Preference and TERO new concepts?

No. Indian preference first appeared in Federal regulations in **1834**. Since then, most new laws and regulations related to tribes include preference provisions. Tribal Employment Rights Ordinances (TEROs) were initially enacted by tribes in late 1976 and early 1977.



What is the extent of TERO jurisdiction?

TERO has jurisdiction over **ALL** employers operating within the exterior boundaries of the reservation as legally defined by treaty or legislation including ceded lands, territories, and lands where jurisdiction has not been extinguished. TERO jurisdiction covering employers working on fee land projects has been greatly reduced by the Montana v. U.S. A. case.



What are basic TERO requirements?

- 1. Submit acceptable compliance plan
- 2. Utilize TERO skills bank for all referrals and consider Indian/Native applicants before interviewing or hiring non-Indian/Natives
- 3. Agree to hire a specific number of Indians/Natives in each job classification and cooperate (where feasible) with tribal training programs to hire trainees
- 4. Eliminate job qualification criteria or personnel requirements which may act as barriers to Indian/Native employment. EEOC guidelines are used by TEROs
- Agree to acknowledge and respect tribal religious beliefs and cultural differences and to cooperate with TERO to provide reasonable accommodations



What is the enforcement approach to TERO?

Most of today's TERO programs utilize a pro-active approach to enforcement. TERO officers attempt to use education and synergistic partnering principles to prevent violations of tribal law and generally try to create mutually beneficial relationships with reservation employers.



What are the sanctions for violation of TERO?

- Violation of TERO requirements may result in severe sanctions. If it is determined that employers have willfully violated TERO requirements, tribes have the power to:
 - Deny such party the right to commence business within the reservation/village
 - Impose a civil fine ranging from \$500 to \$5000 per violation
 - Terminate or suspend operation and denial from further business within the reservation
 - Order removal of unlawfully hired non-Natives take action to ensure future compliance
 - Order back payment of lost wages to aggrieved Natives



Centennial Accord

Centennial Accord:

Executed **August 4,1989** between the federally recognized Indian tribes of Washington and Washington State

- Each of the parties respects the sovereignty of each other party
- No party waives any rights, including treaty rights, immunities, or jurisdiction
- Strengthen their collective ability to successfully resolve issues of mutual concern
 - Right of each of the parties to elevate an issue of importance to any decision-making authority of another party

WSDOT Centennial Accord Plan



Government to Government Training

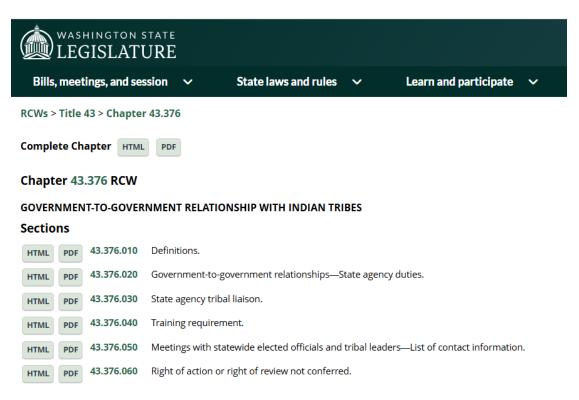
RCW 43.376.040 Training requirement. Training required under RCW 43.376.020 for state agency employees must include at minimum:

- 1. Effective communication and collaboration between state agencies and tribes;
- 2. Cultural competency in providing effective services to tribal governments and tribal members; and
- 3. Use of training services such as those provided through the <u>Governor's Office of Indian Affairs</u>.



Government to Government Training

Department of Enterprise Services (DES) <u>traininginfo@des.wa.gov</u> or (360) 664-1921





Compliance

Tribal Employment Rights Ordinance (TERO)

- 1. TERO offices work directly with the contractor on a compliance plan
 - The plan submitted to the Tribal TERO office ensures protection for the TFRO workforce
 - The compliance plan also covers penalties for violation of TERO laws that may include fines and sanctions
- 2. Agreements/plans require approval from the Tribal TERO staff before work can begin
 - 1-07.12.OPT2
- **General Specials** Construction Manual 1-07.12

State Agency Tribal Liaisons

The primary duties of this position include:

- Serve as a point of contact for tribes within WSDOT
- Train WSDOT staff on best practices
- Develop, update, and help implement WSDOT's Centennial Accord Plan

- Ensure coordination of constant and effective communication with Washington tribes
- Provide regular government relations reports to WSDOT executives

State Agency Tribal Liaisons

Tribal contacts

A specific staff person might be assigned to work with you on an individual project or program. If in the process of consultation, the tribe informs you that a contact has permanently changed, please contact Lorraine Basch.

Headquarters:

- Lorraine Basch
 Tribal and Federal Relations
 Director
 (360) 706-3810
 Lorraine.Basch@wsdot.wa.gov
- Sarah Thirtyacre
 Tribal and Federal Relations
 Liaison
 (360) 705-7533
 Sarah.Thirtyacre@wsdot.wa.gov
- Megan Nicodemus
 WSDOT Tribal and Regional
 Planning
 (509) 324-6202
 Megan.Nicodemus@wsdot.wa.gov

Tribal Coordinators:

- Roger Kiers
 Cultural Resources
 (360) 485-7255
 Roger.Kiers@wsdot.wa.gov
- Diane Gard TERO/Small Business Compliance Manager (360) 522-0851 Diane.Gard@wsdot.wa.gov



Eastern Region

The Eastern Region serves the communities within Adams, Ferry, Lincoln, Pend Oreille, Spokane, Stevens and Whitman counties.

Charlene Kay
Region Administrator
(509) 324-6010
Charlene.Kay@wsdot.wa.gov

Megan Nicodemus Tribal Liaison (509) 324-6202 Megan.Nicodemus@wsdot.wa.gov



North Central Region

The North Central Region serves the communities within Chelan, Douglas, Grant and Okanogan counties.

Chris Keifenheim Region Administrator (509) 667-3001 Chris.Keifenheim@wsdot.wa.gov

Megan Nicodemus
Tribal Liaison
(509) 324-6202
Megan.Nicodemus@wsdot.wa.gov

Brooke Compton
Tribal Coordinator
North Central Region
(509) 667-3057
Brooke.Compton@wsdot.wa.gov



Northwest Region

The Northwest Region serves the communities within King, Whatcom, Island, Skagit and Snohomish counties.

Brian Nielson Region Administrator (206) 440-4692 Brian.Nielson@wsdot.wa.gov

Alyson Rae Restoration and Tribal Relations Technical Expert (360) 483-9448 Alyson.Rae@wsdot.wa.gov Zoe Irish Tribal Liaison (206) 440-4588

Zoe.lrish@wsdot.wa.gov

Mehrdad Moini Local Programs Tribal Coordinator (206) 440-4734 Mehrdad.Moini@wsdot.wa.gov



Olympic Region

The Olympic Region serves the communities within Clallam, Grays Harbor, Jefferson, Kitsap, Mason, Pierce and Thurston counties.

Steve Roark
Region Administrator
(360) 357-2658
Steve.Roark@wsdot.wa.gov

Ashley Carle Tribal Coordinator (360) 357-2675 Ashley.Carle@wsdot.wa.gov Wayne Wiltse
Olympic Region Fish Passage Tribal Liaison
(253) 433-4081
Wayne.Wiltse@wsdot.wa.gov

Aleceia Tilley
Olympic Region Fish Passage Tribal Liaison
(360) 977-8359
Aleceia.Tilley@wsdot.wa.gov

South Central Region

The South Central Region serves the communities within Asotin, Benton, Columbia, Franklin, Garfield, Kittitas, Yakima and Walla Walla counties.

Brian White Region Administrator (509) 577-1620 Brian.White@wsdot.wa.gov

Megan Nicodemus Tribal Liaison (509) 324-6202 Megan.Nicodemus@wsdot.wa.gov



Southwest Region

The Southwest Region serves the communities within Clark, Cowlitz, Klickitat, Lewis, Pacific, Skamania and Wahkiakum counties.

Carley Francis
Region Administrator
(360) 905-2001
Carley.Francis@wsdot.wa.gov

Paul Mason Tribal Coordinator (360) 905-2005 Paul.Mason@wsdot.wa.gov



Washington State Ferries

Steve Nevey
Assistant Secretary
(206) 900-4622
Steve.Nevey@wsdot.wa.gov

Phillip Narte
Tribal Liaison
(206) 389-8563
Phillip.Narte@wsdot.wa.gov





Resources

DBF TFAM



Nina Jones Assistant Director **Business Diversity & Inclusion** (360) 947-6788



OECRoffice@wsdot.wa.gov

SCregionoeo@wsdot.wa.gov

Ferriesoeo@wsdot.wa.gov

DBE Administration (360) 705-7090



DBESS@wsdot.wa.gov

Michael Carpenter Manager **Technical Services** (360) 705-6864



Diane Gard Manager Tribal Employment Rights Office (TERO) **DBF Small Business** Compliance (360) 522-0851 TERO@wsdot.wa.gov



GoalRequests@wsdot.wa.gov

Fatema Aboutalep DBE Compliance Lead Goal Setting & Bid Clearance (360) 522-0843

Nina.Jones@wsdot.wa.gov



External Civil Rights Specialist Consultant Services Northwest Region, Olympic Region and Headquarters & TERO/EEO



Rosa Covarrubias DBE Compliance Lead South Central Region & State Ferries (509) 424-1941



Stephanie Enochs DBE Compliance Lead Eastern Region & North Central Region (360) 742-1896



Breanne Higginbotham External Equal Employment Opportunity (EEO) Officer (360) 522-0850



Tracev Johnson DBE Compliance Lead Southwest Region (360) 701-9823

NWregionoeo@wsdot.wa.gov TERO@wsdot.wa.gov



Brooke Leslie External Civil Rights Specialist (360) 705-7085





Naomi Melendez DBE Compliance Lead Northwest Region Construction (564) 233-8605





Andrew Olson DBE Compliance Lead Northwest Region Local Programs (360) 628-0651



NCregionoeo@wsdot.wa.gov



Laurie Rasmussen DBE Compliance Lead Olympic Region Local Programs (360) 742-7995



Justin Satiacum DBE Compliance Lead Olympic Region Construction (360) 706-3323

Oregionoeo@wsdot.wa.gov Oregionoeo@wsdot.wa.gov



Resources

REGION EMAILS

REGION	EMAIL
Headquarters	HQoeo@wsdot.wa.gov
Eastern Region	ERRegionOEO@wsdot.wa.gov
North Central Region	NCRegionOEO@wsdot.wa.gov
Northwest Region	NWRegionOEO@wsdot.wa.gov
Olympic Region	ORegionOEO@wsdot.wa.gov
South Central Region	SCRegionOEO@wsdot.wa.gov
Southwest Region	SWRegionOEO@wsdot.wa.gov
Washington State Ferries	Ferriesoeo@wsdot.wa.gov
Tribal Employment Rights (TERO)	TERO@wsdot.wa.gov



Resources

LINKS

THE OFFICE OF EQUITY AND CIVIL RIGHTS (OECR)

THE OFFICE OF WOMEN AND MINORITY BUSINESS ENTERPRISES (OMWBE)

DIVERSITY ROADMAP

LAG MANUAL

MWBE SUPPORTIVE SERVICES (MWBESS)

GENERAL SPECIAL PROVISIONS

DIVERSITY MANAGMENT COMPLIANCE SERVICES (DMCS)

CODE OF FEDERAL REGULATIONS (CFR 49.26)

DBE PARTICIPATION PLAN

CAPACITY BUILDING MENTORSHIP PROGRAM

HIGHWAY CONSTRUCTION WORKFORCE PARTNERSHIP

WSDOT TRIBAL CONTACTS



Scan to sign up for OECR weekly updates!